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Substitute for form 1449/PTO				Complete if Known	
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/028,397
				Filing Date	December 21, 2001
				First Named Inventor	Paul Zuili
				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
Sheet	1	of	15	Attorney Docket Number	2222.5600000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if Known)			
mm	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,757,533	07-12-1988	Allen et al.	
	US4	4,796,220	01-01-1989	Wolfe	
	US5	4,827,508	05-02-1989	Shear	
	US6	4,888,800	12-19-1989	Marshall et al.	
	US7	4,972,472	11-20-1990	Brown et al.	
	US8	5,032,979	07-16-1991	Hecht et al.	
	US9	5,052,040	09-24-1991	Preston et al.	
	US10	5,058,164	10-15-1991	Elmer et al.	
	US11	5,144,660	09-01-1992	Rose	
	US12	5,204,897	04-20-1993	Wyman	
	US13	5,220,657	06-15-1993	Bly et al.	
	US14	5,247,575	09-21-1993	Sprague et al.	
	US15	5,301,247	04-05-1994	Rasmussen et al.	
	US16	5,319,705	06-07-1994	Halter et al.	
	US17	5,369,702	11-29-1994	Shanton	
	US18	5,375,169	12-20-1994	Seheidt et al.	
	US19	5,404,404	04-01-1995	Novorita	
	US20	5,406,628	04-11-1995	Beller et al.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
mm	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		
	FP4	EP 0 950 941 A2	10-20-1999	Fujitsu Limited		
	FP5	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
	FP6	EP 1 130 492 A2	09-05-2001	Sony Corporation		
	FP7	EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		
	FP8	EP 1 107504 A2	06-13-2001	Benayoun et al.		
nm	FP9	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		

Examiner Signature		Date Considered	12/5/07
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				First Named Inventor	Paul Zuili
				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
Sheet	2	of	15	Attorney Docket Number	2222.5600000

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		Number-Kind Code ² (if known)			
mpb	US21	5,414,852	05-09-1995	Kramer et al.	
	US22	5,495,533	02-27-1996	Linehan et al.	
	US23	5,557,765	09-17-1996	Lipner et al.	
	US24	5,570,108	10-29-1996	McLaughlin et al.	
	US25	5,584,023	12-10-1996	Hsu	
	US26	5,606,663	02-25-1997	Kadooka	
	US27	5,671,412	09-23-1997	Christiano	
	US28	5,677,953	10-14-1997	Dolphin	
	US29	5,680,452	10-21-1997	Shanton	
	US30	5,684,987	11-04-1997	Mamiya et al.	
	US31	5,689,718	11-18-1997	Sakurai et al.	
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	US33	5,708,709	01-13-1998	Rose	
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	US35	5,717,755	02-10-1998	Shanton	
	US36	5,720,033	02-17-1998	Deo	
	US37	5,729,734	03-17-1998	Parker et al.	
	US38	5,748,736	05-05-1998	Mittra	
	US39	5,751,287	05-12-1998	Hahn et al.	
	US40	5,757,920	05-26-1998	Misra et al.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
mpb	FP10	GB 2 328 047 A	02-10-1999	Microsoft Corporation		
	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
	FP12	WO 00/56028 A2	09-21-2000	Texas Software Corp.		
	FP13	WO 01/61438 A2	08-23-2001	Permabit, Inc.		
	FP14	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc.		
	FP15	WO 01/63387 A3	08-30-2001	Visualgold.com, Inc.		
	FP16	WO 01/78285 A1	10-18-2001	Mediadna, Inc.		
	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.		
	FP18					

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				Filing Date	December 21, 2001
				First Named Inventor	Paul Zuili
				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
Sheet	3	of	15	Attorney Docket Number	2222.5600000

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<i>mm</i>	US41	5,765,152	06-09-1998	Ericson	
	US42	5,778,065	07-07-1998	Hauser et al.	
	US43	5,787,169	07-28-1998	Eldridge et al.	
	US44	5,787,173	07-28-1998	Seheidt et al.	
	US45	5,787,175	07-28-1998	Carter	
	US46	5,790,789	08-04-1998	Suarez	
	US47	5,821,933	10-13-1998	Keller et al.	
	US48	5,835,592	11-10-1998	Chang et al.	
	US49	5,835,601	11-10-1998	Shimbo et al.	
	US50	5,857,189	01-05-1999	Riddle	
	US51	5,870,468	02-09-1999	Harrison	
	US52	5,870,477	02-09-1999	Sasaki et al.	
	US53	5,892,900	04-06-1999	Ginter et al.	
	US54	5,893,084	04-06-1999	Morgan et al.	
	US55	5,898,781	04-27-1999	Shanton	
	US56	5,922,073	07-13-1999	Shimada	
	US57	5,944,794	08-31-1999	Okamoto et al.	
	US58	5,953,419	09-14-1996	Lohstroh et al.	
	US59	5,953,419	09-14-1999	Lohstroh et al.	
<i>mm</i>	US60	5,968,177	10-19-1999	Batten-Carew et al.	

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	FP19				
	FP20				
	FP21				
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	FP23				
	FP24				
	FP25				
	FP26				
	FP27				

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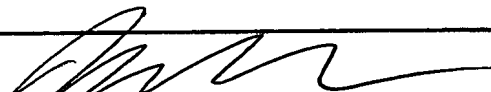
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<i>mm</i>	US61	5,991,879	11-23-1999	Still	
	US62	6,023,506	02-08-2000	Ote et al.	
	US63	6,032,216	02-29-2000	Schmuck et al.	
	US64	6,038,322	03-14-2000	Harkins	
	US65	6,044,155	03-28-2000	Thomlinson et al.	
	US66	6,055,314	04-25-2000	Spies et al.	
	US67	6,058,424	05-02-2000	Dixon et al.	
	US68	6,061,790	05-09-2000	Bodnar	
	US69	6,098,056	08-01-2000	Rusnak et al.	
	US70	6,105,131	08-15-2000	Carroll	
	US71	6,134,327	10-17-2000	Van Oorschot	
	US72	6,134,658	10-17-2000	Multerer et al.	
	US73	6,141,754	10-31-2000	Choy	
	US74	6,145,084	11-07-2000	Zuili	
	US75	6,182,142 B1	01-30-2001	Win et al.	
	US76	6,185,684 B1	02-06-2001	Pravetz et al.	
	US77	6,205,549 B1	03-20-2001	Pravetz et al.	
	US78	6,226,618 B1	05-01-2001	Downs et al.	
	US79	6,240,188 B1	05-29-2001	Dondeti et al.	
<i>mm</i>	US80	6,253,193 B1	06-26-2001	Ginter et al.	

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	FP28				
	FP29				
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	FP35				
	FP36				

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mgj	US81	6,260,141 B1	07-10-2001	Park	
	US82	6,263,348 B1	07-17-2001	Kathrow et al.	
	US83	6,282,649 B1	08-28-2001	Lambert et al.	
	US84	6,292,899 B1	09-18-2001	McBride	
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	US90	6,339,423 B1	01-15-2002	Sampson et al.	
	US91	6,341,164 B1	01-22-2002	Dilkie et al.	
	US92	6,347,374 B1	02-12-2002	Drake et al.	
	US93	6,351,813 B1	02-26-2002	Mooney et al.	
	US94	6,356,903 B1	03-12-2002	Baxter et al.	
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	US96	6,363,480 B1	03-26-2002	Perlman	
	US97	6,370,249 B1	04-09-2002	Van Oorschot	
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gm	US100	6,389,538 B1	05-14-2002	Gruse et al.	

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	FP38				
	FP39				
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	FP44				
	FP45				

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<i>mp</i>	US101	6,405,315 B1	06-11-2002	Burns et al.	
	US102	6,421,714 B1	07-16-2007	Rai et al.	
	US103	6,442,688 B1	08-27-2002	Moses et al.	
	US104	6,442,695 B1	08-27-2002	Dutcher et al.	
	US105	6,449,721 B1	09-10-2002	Pensak et al.	
	US106	6,453,353 B1	09-17-2002	Win et al.	
	US107	6,466,932 B1	10-15-2002	Dennis et al.	
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	US117	6,567,914 B1	05-20-2003	Just et al.	
	US118	6,571,291 B1	05-27-2007	Chow	
	US119	6,588,673 B1	07-08-2003	Chan et al.	
<i>ma</i>	US120	6,594,662 B1	07-15-2003	Sieffert et al.	

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Substitute for form 1449/PTO				Complete if Known	
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/028,397
				Filing Date	December 21, 2001
				First Named Inventor	Paul Zuili
				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
Sheet	7	of	15	Attorney Docket Number	2222.5600000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
my	US121	6,598,161 B1	07-22-2003	Kluttz et al.	
	US122	6,603,857 B1	08-05-2003	Batten-Carew et al.	
	US123	6,608,636 B1	08-19-2003	Roseman	
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	FP55				
	FP56				
	FP57				
	FP58				
	FP59				
	FP60				
	FP61				
	FP62				
	FP63				

Examiner Signature		Date Considered	12/5/07
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mjp	US141	6,834,333 B1	12-21-2004	Yoshino et al.	
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	FP64				
	FP65				
	FP66				
	FP67				
	FP68				
	FP69				
	FP70				
	FP71				
	FP72				

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			Art Unit	2137	
			Examiner Name	Michael J. Pyzocha	
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mp	US161	6,957,261 B1	10-18-2005	Lortz	
	US162	6,959,308 B1	10-25-2005	Gramsamer et al.	
	US163	6,961,849 B1	11-01-2005	Davis et al.	
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mp	US179	7,076,312 B1	07-11-2006	Law et al.	
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	FP73				
	FP74				
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	FP83				
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	FP87				
	FP88				
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	FP90				

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myj	US201	7,224,795 B1	05-29-2007	Takada et al.	
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	US279	2005/0086531 A1	04-21-2005	Kenrich	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/028,397
				Filing Date	December 21, 2001
				First Named Inventor	Paul Zuili
				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
Sheet	1	of	5	Attorney Docket Number	2222.5600000

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	
	NPL2	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999	
	NPL3	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-37, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.	
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	NPL6	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL7	Crocker, Steven Teye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Applicatio No. 10/404,566, filed 03/31/2003.	
	NPL8	Crocker, Steven Teye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL9	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/028,397
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				First Named Inventor	Paul Zuili
				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
Sheet	2	of	5	Attorney Docket Number	2222.5600000

NON PATENT LITERATURE DOCUMENTS			
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	NPL11	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL12	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
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	NPL18	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL19	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL20	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	

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<i>mp</i>	NPL21	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
	NPL22	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	
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	NPL26	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
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	NPL29	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
<i>mp</i>	NPL30	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	

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				Art Unit	2137
				Examiner Name	Michael J. Pyzocha
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	NPL31	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL32	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	
	NPL33	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL34	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
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	NPL36	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
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	NPL38	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL39	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL40	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	

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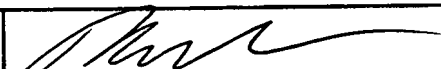
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<i>Amf</i>	NPL41	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	
	NPL42	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL43	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
	NPL44	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL45	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL46	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
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	NPL48	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
	NPL49	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
<i>Amf</i>	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	

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